



STEWARD
— PARTNERS —

The Dooley Group

of Steward Partners

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(737) 249-0500 // DOOLEYGROUP.STEWARDPARTNERS.COM

At The Dooley Group of Steward Partners, we understand that you have worked hard to attain the wealth that you have—and we are passionate about creating the plan that can help you and your loved ones live well today and tomorrow.



WE PUT planning at the heart of everything we do. We will look at your life from a 360-degree lens in order to get to know you as well as we know ourselves. Then, before we even consider investments, we will develop a detailed plan designed to help you achieve each of your hopes and dreams.

WE BUILD individual portfolios tailored to your unique needs. We focus on long-term growth, while maintaining the flexibility to recommend positions that may help manage risks or capture opportunities over the short-term. We conduct extensive research to uncover the investment opportunities we believe will work best for you. And we help ensure that you understand not only what you own, but why you own it.

WE COMBINE customized, institutional-quality advice with exceptional personal service—treating each client as part of our family and taking great satisfaction in making a difference in their lives. We believe that if we can help you plan well, we can help you live well.

WE ARE PROUD that so many individuals and families rely on us to help them with everything from purchasing a new home and putting kids through school to taking that dream vacation, retiring, creating a legacy—and everything in between.

Agenda

1

Our comprehensive resources for complex wealth

A team of professionals supported
by extensive resources and a
strong parent company

2

A process designed to help you reach your goals

Our approach to learning who you
are and recommending the
solutions you need

3

A modern approach to wealth management

Coordinating your financial care
to simplify your life



1

Our team

Our comprehensive resources for complex wealth

A team of professionals supported by extensive resources and a strong parent company



Gene Dooley

Partner, Managing Director
Wealth Manager

gene.dooley@stewardpartners.com

HOW I CAN HELP YOU

Gene creates strategies and portfolios for high-net-worth individuals and their families based upon their customized financial plan and their unique needs. He takes a holistic approach to wealth management—advising clients on everything from education and retirement to lending, asset allocation, creating a legacy and more.

WHAT MAKES ME KNOWLEDGEABLE

Gene has been helping clients achieve their personal and financial goals since 2009. Prior to forming The Dooley Group, his financial experience includes working at Merrill Lynch and Morgan Stanley. Before becoming an advisor, Gene was a successful corporate sales executive and entrepreneur in the technology industry. Gene holds a BBA from the College of William and Mary and an MBA from the Cox School of Business at Southern Methodist University. He also holds Series 7, 31 and 66 securities licenses, as well as State Health and Life Insurance licenses.

GETTING TO KNOW ME

Gene and his wife, Annie, live in Austin, Texas, with their two children. When he's not in the office, you can find Gene playing tennis or riding horses. Gene supports the future of healthcare in Texas as a member of the Seton 50.



Taryn Bertetto, CFP®

Partner, Vice President
Wealth Manager

taryn.bertetto@stewardpartners.com

HOW I CAN HELP YOU

Taryn helps to develop and implement the financial plans that can help our high-net-worth clients and their families achieve their long-term wealth goals. She also assists with portfolio construction and equity research. Her goal is to treat each client like family and help them prepare for the expected—and unexpected—in life.

WHAT MAKES ME KNOWLEDGEABLE

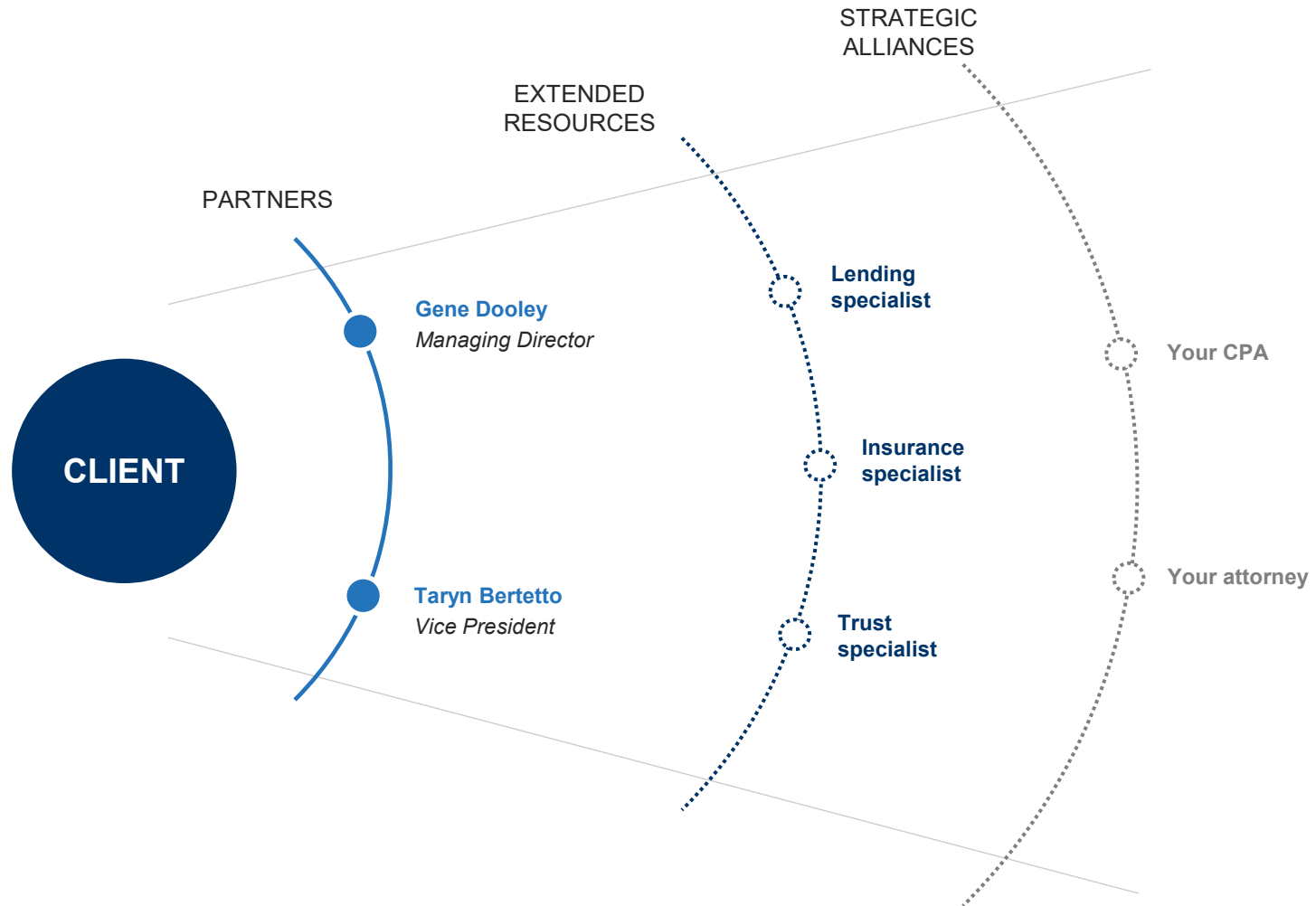
Taryn has been building strong relationships with financial services clients since 2008. Prior to joining The Dooley Group, her history includes positions with Merrill Lynch and Wells Fargo. Taryn holds a Bachelor's degree from the Anderson School of Business at the University of New Mexico. She also holds Series 6, 7, 63, and 65 securities licenses, State Health and Life Insurance licenses and is a designated CERTIFIED FINANCIAL PLANNER®.

GETTING TO KNOW ME

Taryn and her husband, Steven, live in Georgetown with their son. In her spare time, she enjoys cooking, painting, spending time with her family, and living life to the fullest. Taryn supports St. Jude, Table of Grace, Empowering Women Out of Prison, and local community events.

Certified Financial Planner Board of Standards Center for Financial Planning, Inc. owns and licenses the certification marks CFP® and CERTIFIED FINANCIAL PLANNER® in the United States to Certified Financial Planner Board of Standards, Inc., which authorizes individuals who successfully complete the organization's initial and ongoing certification requirements to use the certification marks.

Meet our team



Who we are and where we come from



A team of deeply experienced professionals



● Raymond James

As a custodian, Raymond James is committed to helping individuals, corporations and municipalities achieve their unique goals, while also developing and supporting successful professionals, and helping our communities prosper.

● Steward Partners

Steward Partners is an employee-owned, full-service independent partnership, catering to family, institutional and multigenerational investors. We believe that clients, employees and partners deserve to be treated with the highest level of service. Steward Partners is designed to provide boutique concierge service, customized solutions and an exceptional client experience, delivered by our carefully selected advisors to a limited number of clients.

● The Dooley Group

The Dooley Group has access to significant resources and works with large firms that provide additional resources and support. We believe that what you keep is more important than what you make, so we design portfolios to be tax efficient. We manage portfolios actively, yet tax efficiently, building portfolios with the securities, strategies, and managers we believe will best meet each client's distinct goals. We are passionate about helping our clients achieve their hopes and dreams. Our greatest satisfaction comes from knowing that we have made a difference in someone's life. We strive to be trusted advisors and trusted friends. If we can help you to plan well, we can help you to live well.

*Past performance is not indicative of future results. The information provided is for informational purposes only and is not a solicitation to buy or sell of any security.



Our mission

Planning is at the core of everything we do. Whether you are purchasing a new home, putting your kids through school, changing your career, going on a dream vacation, looking to retire—or all of the above—a well-orchestrated plan can help you accomplish your goals with confidence. The Dooley Group has the experience and tools needed to help you create and execute a financial plan that works best for you.

Our vision

We are passionate about helping our clients achieve their hopes and dreams. Our greatest satisfaction comes from knowing that we have made a difference in someone's life. We strive to be trusted advisors and trusted friends because we know that if we can help you plan well, we can help you live well.



2

Investment process and philosophy

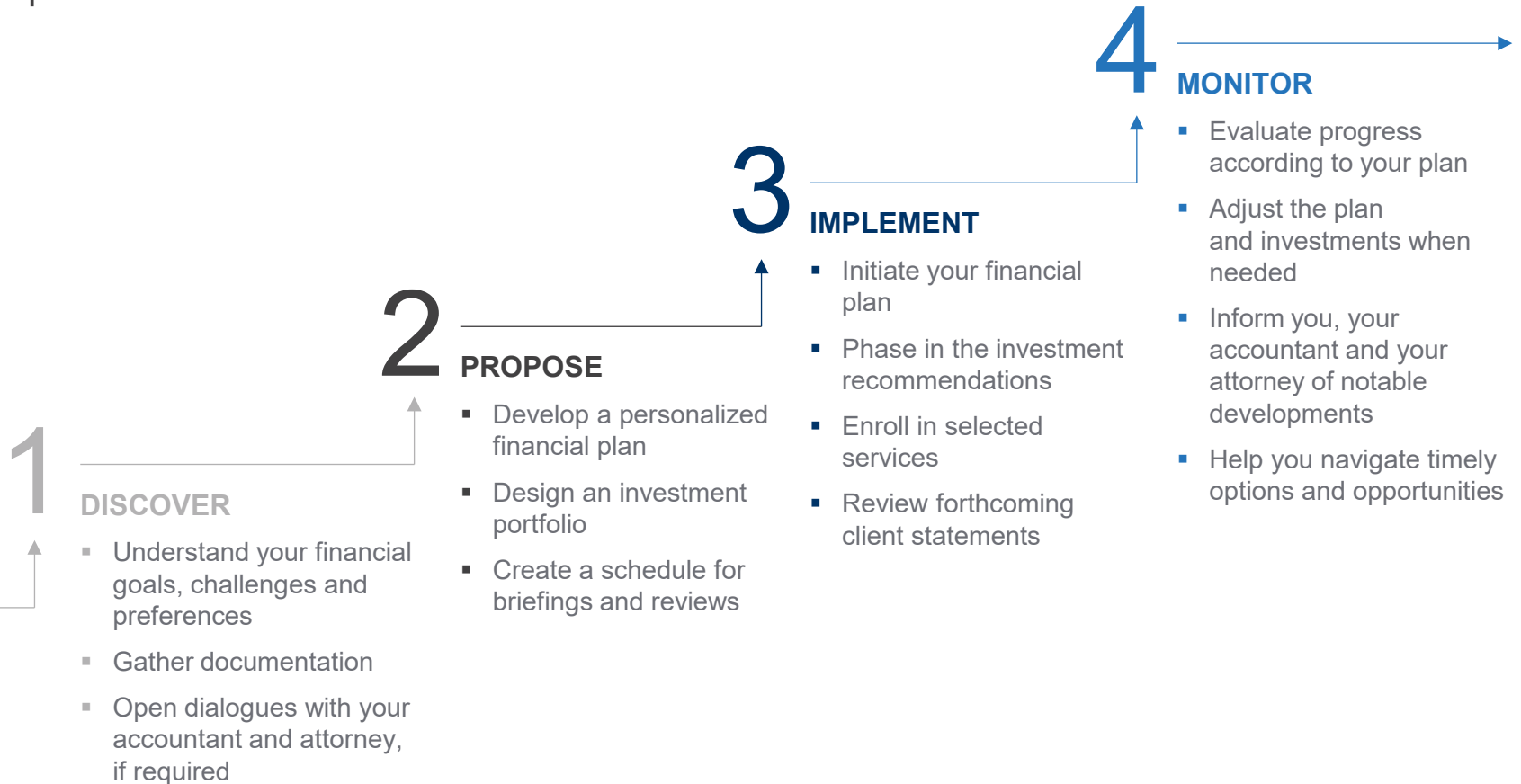
A process designed to help you reach your goals

Our approach to learning who you are and recommending the solutions you need

Our time-tested process



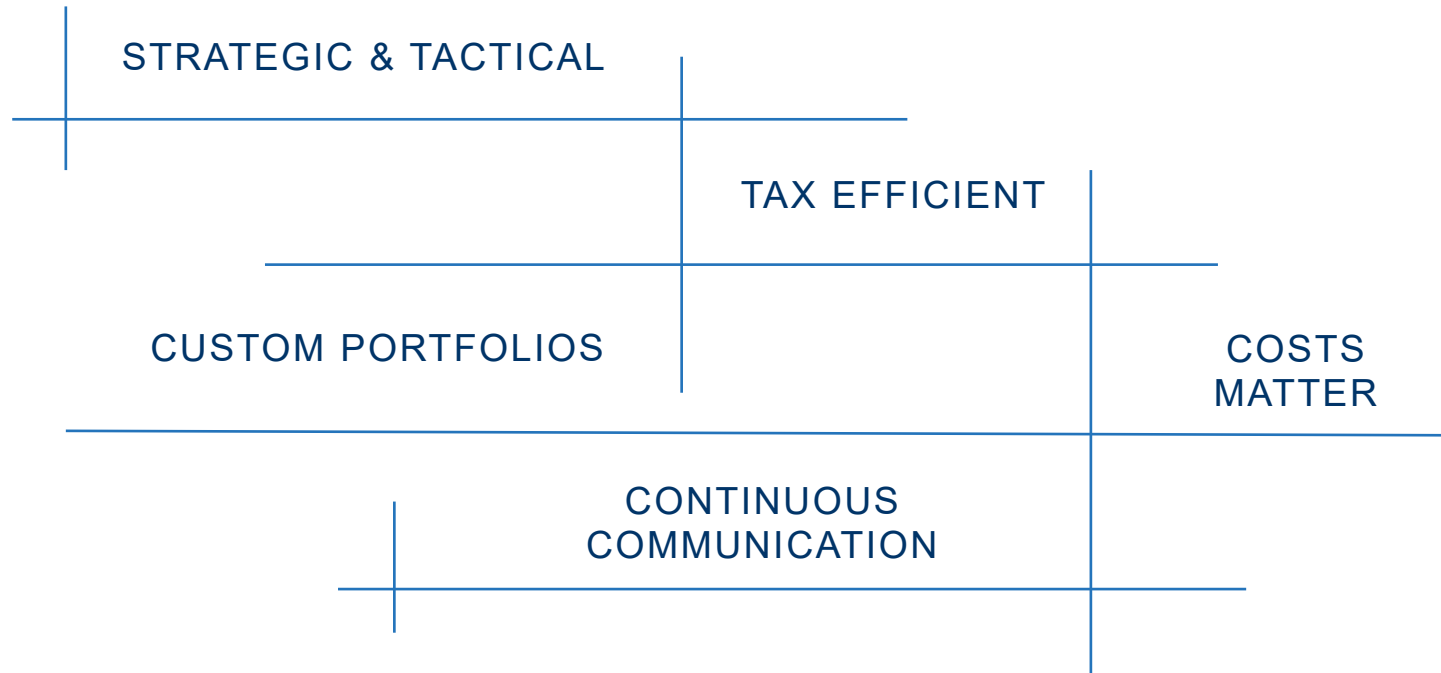
We design and manage portfolios to help meet the distinct goals of each client. Our thoughtful and disciplined approach highlights the uniqueness of each client, enabling us to create the right plan for their needs.



Our consistent and enduring beliefs



We believe that careful planning is the key to living well. This drives our approach to wealth management.



Our investment philosophy

We are dedicated to delivering portfolios to help meet each client's individual needs. We believe in active management, taking a strategic view for the long term and making tactical changes to reduce risks—or capture opportunities—in the short term. Our customized portfolios and holistic approach follow our clients' plans and allow them to confidently live well.

We believe

that what you keep is more important than what you make, so we design portfolios to be tax-efficient.



We research

extensively—analyzing numerous sources of information available before forming opinions on the markets or investments.



We manage

portfolios actively, yet tax efficiently, building portfolios with the securities, strategies and managers we believe will best meet each client's distinct goals.



We work

transparently—helping our clients stay informed about what's going on with the market and with each investment, so they can become better investors over time.





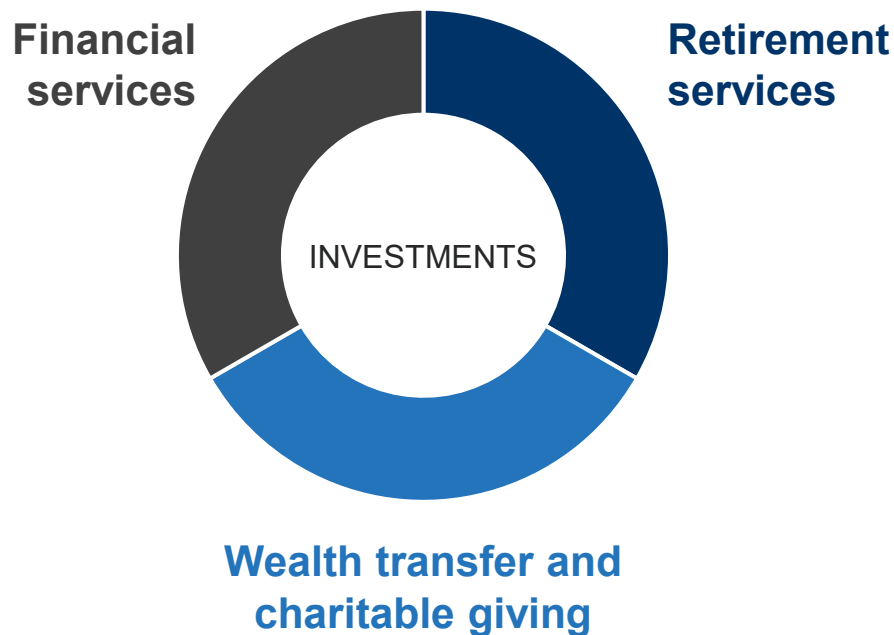
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Our services

A modern approach to wealth management

Coordinating your financial care to simplify your life

We believe that to help our clients live better, we need to have a holistic view of their wealth. This is why we provide truly comprehensive services that go far beyond investments.



Financial services

Finances

- Investment Management
- Cash management
- College education planning

Banking

- Securities Based Lending
- Margin Lending

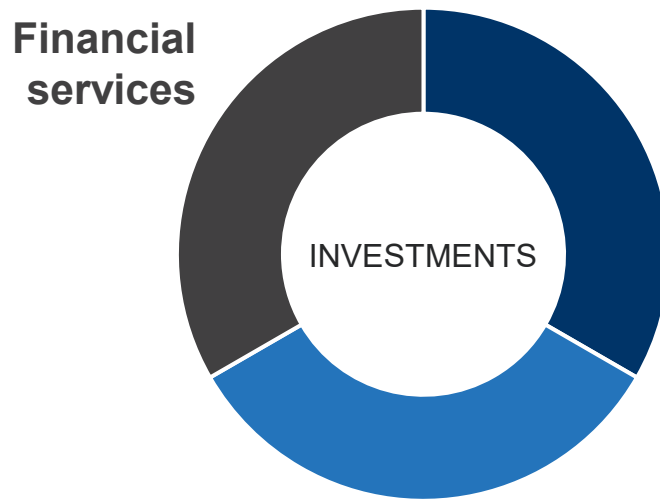
Protection

- Asset protection planning
- Insurance planning

Business

- Business financing
- Coordinate with accountants and attorneys
- Liquidity event planning
- Retirement plans (401(k), 403(b), SEP IRA, etc.)

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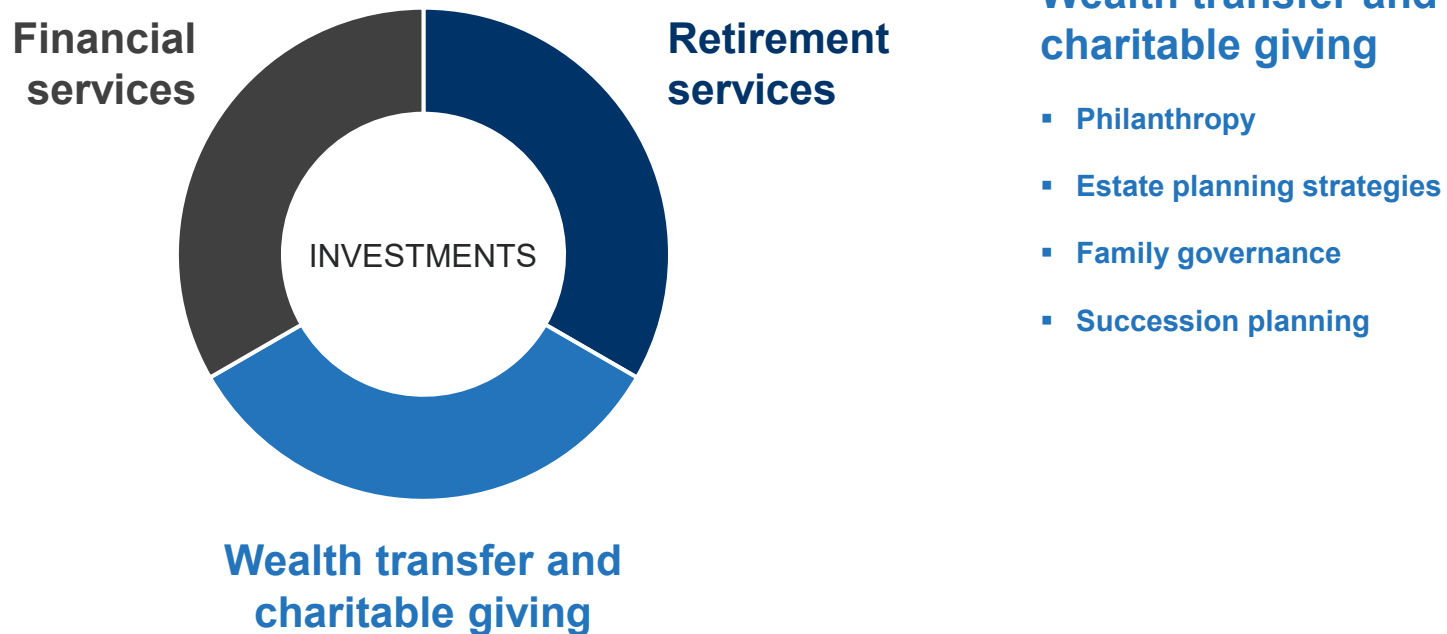


Retirement services

- Retirement cash flow
- Pension analysis
- Social Security strategies
- IRA contributions and distributions
- Required Minimum Distribution management
- Long term care planning

Wealth transfer and charitable giving

We believe that to help our clients live better, we need to have a holistic view of their wealth. This is why we provide truly comprehensive services that go far beyond investments.





We know that if we help you plan well, we can help you live well.
Contact us today.

Gene Dooley

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Wealth Manager

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Taryn Bertetto, CFP®

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Wealth Manager

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The investments and services listed may not be appropriate for all investors. Steward Partners recommends that investors independently evaluate particular investments, and encourages investors to seek the advice of a Wealth Manager. The appropriateness of a particular investment will depend upon an investor's individual circumstances and objectives. All investing involves risk including possible loss of principal.

Steward Partners Investment Solutions, LLC offers insurance products in conjunction with its licensed insurance agency affiliates. Since long-term care insurance is medically underwritten, you should not cancel your current policy until your new policy is in force. A change to your current policy may incur charges, fees and costs. A new policy may require a medical exam. Actual premiums may vary from any initial quotation. Guarantees and contractual obligations are backed by the claims-paying ability of the issuing insurance company.

When Steward Partners Investment Solutions LLC, its affiliates and Steward Partners Wealth Managers provide "investment advice" regarding a retirement or welfare benefit plan account, an individual retirement account or a Coverdell education savings account. Steward Partners is a "fiduciary" as those terms are defined under the Employee Retirement Income Security Act of 1974, as amended ("ERISA"), and/or the Internal Revenue Code of 1986 (the "Code"), as applicable. When Steward Partners provides investment education, takes orders on an unsolicited basis or otherwise does not provide "investment advice", Steward Partners will not be considered a "fiduciary" under ERISA and/or the Code. Tax laws are complex and subject to change. Individuals are encouraged to consult their tax and legal advisors (a) before establishing a Retirement Account, and (b) regarding any potential tax, ERISA and related consequences of any investments or other transactions made with respect to a Retirement Account.

Steward Partners, its affiliates, and its Wealth Managers do not provide tax or legal advice. Clients should consult their tax advisor for matters involving taxation and tax planning and their attorney for matters involving trust and estate planning and other legal matters.

Borrowing against securities may not be appropriate for everyone. You should be aware that there are risks associated with a securities based loan, including possible margin calls on short notice, and that market conditions can magnify any potential for loss.

Important Risk Information for Securities Based Lending: A line of credit backed by securities, such as a securities based line of credit or Margin account may not be suitable for all clients and investors. Borrowing on securities backed lending products or Margin accounts and using securities as collateral may involve a high degree of risk including unintended tax consequences and the possible need to sell your holdings, which may lead to a significant impact on long-term investment goals. An investor can lose more funds than he or she deposited in the account. Market conditions can magnify any potential for loss. If the market turns against the client, he or she may be required to quickly deposit additional securities and/or cash in the account(s) or pay down the loan to avoid liquidation. Clients and investors may not be entitled to choose which securities or other assets in his or her account are liquidated or sold to meet a Call. The firm can increase its maintenance requirements at any time and is not required to provide advance written notice. Clients and investors may not be entitled to an extension of time on Calls. The securities in the Pledged Account(s) may be sold to meet the Collateral Calls and the securities in a Margin account can be sold to meet Margin Calls; the firm can sell the client's securities without contacting them. Increased interest rates could also affect LIBOR rates that apply to your line of credit causing the cost of the credit line to increase significantly

. The interest rates charged on a line of credit are determined by (i) the market value of pledged assets and the net value of the client's non-pledged Capital Access account or (ii) the line of credit amount. The interest rates charged on Margin accounts are determined by the amount borrowed. Please visit sec.gov/investor/pubs/margin.htm for additional information.

The proceeds from a securities based line of credit cannot be (a) used to purchase or carry securities; (b) deposited into a Raymond James investment or trust account; (c) used to purchase any product issued or brokered through an affiliate of Raymond James, including insurance; or (d) otherwise used for the benefit of, or transferred to, an affiliate of Raymond James. Raymond James Bank does not accept RJF stock or any securities issued by affiliates of Raymond James Financial as pledged securities towards a line of credit. Lines of credit are provided by Raymond James Bank. Securities based line of credit and structured lines of credit provided by Raymond James Bank, Raymond James & Associates, Inc. and Raymond James Financial Services, Inc. are affiliated with Raymond James Bank, a federally chartered national bank.

Steward Partners Investment Solutions, LLC is a registered Broker/Dealer, Member SIPC, and not a bank. Where appropriate, Steward Partners Investment Solutions, LLC has entered into arrangements with banks and other third parties to assist in offering certain banking related products and services.

Investment, insurance and annuity products offered through Steward Partners Investment Solutions, LLC are: NOT FDIC INSURED | MAY LOSE VALUE | NOT BANK GUARANTEED | NOT A BANK DEPOSIT | NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY

Securities are offered through Steward Partners Investment Solutions, LLC ("SPIS"), registered broker/dealer, member FINRA/SIPC. Investment advisory services are offered through Steward Partners Investment Advisory, LLC ("SPIA"), an SEC-registered investment adviser. SPIS, SPIA, and Steward Partners Global Advisory, LLC are affiliates and collectively referred to as Steward Partners.

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